

Escorp Asset Management Ltd. CIN: U17121MH2011PLC213451 718 – A, P.J. Towers, Dalal Street, Fort, Mumbai – 400001.

Tel No. : +91 22 2272 1104 Fax No. : +91 22 2272 1105 Email : info@escorpamc.co.in Website : www.escorpamc.co.in

18th October 2017

To, BSE Ltd P.J. Towers, Dalal Street, Fort, Mumbai – 400 001

Ref: ESCORP/ LODR/COM/SSS/018/2017

Scrip Code: 540455

Sub: Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements)
Regulations, 2015 for half year ended September 30, 2017

Dear Sir/Madam,

Please find enclosed herewith the certificate dated 16th October, 2017 from JNG & Co., Practicing Company Secretaries under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 certifying that the Company has neither received any request for Transfer / Transmission of shares nor received any request for issue of duplicate share certificate during the reporting period from 1st April, 2017 to 30th September, 2017.

This is for your information and records.

Yours faithfully,

For Escorp Asset Management Limited

Deepesh Jain '
(Company Secretary)

JNG & CO. Company Secretaries



CERTIFICATE

We have examined all the share transfer deeds, Memorandum of Transfers, Registers, files and other document relating to M/s. Escorp Asset Management Limited, having its Registered Office at 60. Khatau Building, Ground Floor, Alkesh Dinesh Modi Marg, Fort, Mumbai – 400 001 maintained by M/s. Bigshare Services Private Limited, having its office at 1st Floor, Bharat Tin Works Building, Opp. Vasant Oasis, Makwana Road, Marol, Andheri (East), Mumbai – 400 059, pertaining to transfer of Equity Shares of the Company for the period 1st April, 2017 to 30th September, 2017, for the purpose of issuing the certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligation and Disclosures Requirements) Regulations, 2015 and based on the information provided by the Company, we hereby certify that the Company has neither received any request for transfer / transmission of shares nor received any request for issue of duplicate share certificate during the reporting period from 1st April, 2017 to 30th September, 2017.

CS:7569

Date: 16th October, 2017

Place: Mumbai

For JNG & Co.,

Jigarkumar Gandhi

Proprietor FCS No. 7569

COP No. 8108